# CHECKLIST FOR NEW ALCOHOL BEVERAGE APPLICATIONS



□ **Original Alcohol Beverage License Application (AB-200)** – must be filed with the Clerk at least 15 days prior to a regularly scheduled Common Council meeting. See application deadlines on reverse side. Please call 608-348-1823 to schedule an appointment for in person consultation. Note – only completed applications will be accepted.

• Name on application must be the same as the name on your Wisconsin Sales and Use Permit that is registered with the Wisconsin Department of revenue and the WI Dept. Financial Institutions.

□ **Surrender of License form** – if applicable, this form must be completed and signed by both the current owner and the new applicant of an alcohol license.

□ **Copy of Lease (if you are leasing the premises)** – A copy of your lease must be provided to show that you have full control of the premises (ss 125.04)

**Copy of the WI Sales and Use Permit number** – This will start with a 456-xxxx... It is a 15-digit number.

□ **Map of the premise** – describing where the alcohol will be server and stored.

□ Business plan – discussing your operation, number of employees, % of food sales, etc.

□ Appointment of Agent (AB-101) – All corporations/organizations and limited liability companies must appoint an agent. This person must have resided in the state of Wisconsin for at least 90 days prior to the date of application. A member of the corporation, limited liability, etc. Must also sign this application. The Agent must also provide proof of completing the Bartenders Awareness course (see below) if they have not held an alcohol license or bartender license within the last two years.

□ Individual Questionnaire (AB-100) – All individual owners, partners, corporate officers, (including agent) must complete this application.

□ **Operator's (Bartender) License Application** – Individuals aged 18 or older may be licensed to serve and/or sell alcohol. A licensed bartender must be present and be able to see all employees serving and /or selling alcohol at all times.

□ **Bartender's Awareness Course** – This course must be completed before a permanent bartender license can be issued. Complete and submit the training course online from the approved list found at <u>www.revenue.wi.gov</u>

□ **\$50.50 Publication fee must be paid at the time of filing the alcohol application.** – Remaining license fees and any delinquent bills with the City must be paid prior to the issue of the license.

□ Attend License Committee Meeting for all newly licensed premises – may also be required for new owners of an existing licensed premise.

Date of meeting: \_\_\_/\_\_\_ at 9:00 am in Council Chambers, City Hall, 75 N Bonson Street. Date/Time subject to change. City Clerk will be in contact with the applicant.

Date of Common Council meeting: \_\_\_/\_\_\_ at 6:00 p.m. in Council Chambers, City Hall, 75 N Bonson Street



### **2025 ALCOHOL APPLICATION DEADLINES**

Alcohol applications must be filed with the City Clerk's office 15 days before a Council meeting.

A license may be issued the day following Council Meeting if all other requirements have been met.

Council Meeting	Application Deadline By NOON	License Committee Review Date (if Needed) 4:30 pm
January 14, 2025	December 30, 2024	January 7, 2025
January 28, 2025	January 13, 2025	
February 11, 2025	January 27, 2025	February 11, 2025
February 25, 2025	February 10, 2025	
March 11, 2025	February 24, 2025	March 11, 2025
March 25, 2025	March 10, 2025	
April 8, 2025	March 24, 2025	April 8, 2025
April 22, 2025	April 7, 2025	
May 13, 2025	April 28, 2025	May 13, 2025
May 27, 2025	May 12, 2025	
June 10, 2025	May 26, 2025	June 3, 2025
June 24, 2025	June 9, 2025	
July 8, 2025	June 23, 2025	July 8, 2025
July 22, 2025	July 7, 2025	
August 12, 2025	July 28, 2025	August 12, 2025
August 26, 2025	August 11, 2025	
September 9, 2025	August 25, 2025	September 9, 2025
September 23, 2025	September 8, 2025	
October 14, 2025	September 29, 2025	October 14, 2025
October 28, 2025	October 13, 2025	
November 11, 2025	October 27, 2025	November 11, 2025
November 25, 2025	November 10, 2025	
December 9, 2025	November 24, 2025	December 9, 2025
December 23, 2025 (canceled)		

Form	
Α	<b>B-200</b>

# Alcohol Beverage License Application

For Municipal Use Only Municipality

.

License Period

<b>_icense(s) Requested:</b> (up to two boxes may	y be checked)	Fees	
☐ Class "A" Beer \$	□ Class "B" Beer \$	License Fees	\$
□ "Class A" Liquor \$	□ "Class B" Liquor \$	Background Check Fee	\$
"Class A" Liquor (cider only) \$	Reserve "Class B" Liquor \$	Publication Fee	\$
Glass C" Liquor (wine only) \$		Total Fees	\$
Part A: Premises/Business Information	on		
1. Legal Business Name (individual name if sole pro	oprietorship)		
2. Business Trade Name or DBA			
3. FEIN	4. Wisconsin Seller's Pe	rmit Number	
<ul> <li>5. Entity Type (check one)</li> <li>Sole Proprietor</li> <li>Partnership</li> <li>6. State of Organization</li> </ul>	Limited Liability Company     Co 7. Date of Organization	prporation Nonpro 8. Wisconsin DFI Registration	fit Organization on Number
9. Premises Address			
10. City		11. State 12. Zip Code	
13. County	14. Governing Municipality: City Town of:	Village 15. Aldermani	c District
16. Premises Phone	17. Premises Email	18. Website	
	r buildings where alcohol beverages are produced , including living quarters. Authorized alcohol beve on. Attach a map or diagram and additional sheets	erage activities and storage o	

21. City

Part B: Questions 1. Has the business (sole proprietorship, partnership, limited liability company, or corporation) been convicted of violating federal or state laws or local ordinances? Exclude traffic offenses unless related to alcohol beverages. Yes No If yes, list the details of violation below. Attach additional sheets if necessary. Law/Ordinance Violated Trial Date Location Penalty Imposed Was sentence completed? . . . . Yes No Law/Ordinance Violated Location Trial Date Penalty Imposed Was sentence completed?..... Yes No

22. State

23. Zip Code

2. Are charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol Yes . No beverages.								
If yes, describe the nature and status of pending charges using the space below. Attach additional sheets as needed.								
3. Is the applicant business or any of i individuals or entities a restricted in If yes, provide the name of the restr	vestor with any	y intere	st in an alcohol b	bevera	age pro	ducer or distribut		Yes 🗌 No
<ol> <li>Is the applicant business owned by a If yes, provide the name(s) and FEIN</li> </ol>	another busine I(s) of the busi	ss entit ness er	y?	 w. Atta	 ach add	itional sheets as		Yes 🗌 No
4a. Name of Business Entity	<u> </u>		4b. Busine	ss Enti	ty FEIN			
E Have the pertners, egent, or cale pro	priotor optiofic	d the re			on (or tra		t for	
5. Have the partners, agent, or sole pro this license period? Submit proof of								Yes 🗌 No
6. Is the applicant business indebted to	2		-			•		Yes 🗌 No
7. Does the applicant business owe pa	st due municip	al prope	erty taxes, asses	sment	ts, or ot	her fees?		Yes No
Part C: Individual Information								
List the name, title, and phone number for e Question 4: sole proprietor, all officers, direc managers, and agent of a limited liability co	tors, and agent of	of a corp	oration or nonprofi	t organ				
Include Form AB-100 for each person listed	below. Corpora	tions and	d LLCs must appoi	nt an a	gent by i	including Form AB-	101.	
Last Name	First Name			Title			Phone	
Part D: Attestation								
One of the following must sign and atte								
	eral partner of a	•	•		porate c		e member of	
<b>READ CAREFULLY BEFORE SIGNING:</b> Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.								
Last Name			First Name					M.I.
Title		Email					Phone	
Signature					Date			
Part E: For Clerk Use Only	N. 1				Det 12		Detail	a laave d
Date Application Was Filed With Clerk Lic	ense Number				Date Lic	cense Granted	Date Licens	se issued
Signature of Clerk/Deputy Clerk						Date Provisional I	icense Issue	d (if applicable)

# Form AB-200 Instructions

Alcohol Beverage License Application

### Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

### Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

### Specific Instructions

License Period:

• Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of issuance.

### License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*, and *Face Sheet 3101*, <u>Licenses for Retail Sale of Alcohol Beverages</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

### Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the entity when it is registered with DFI. It can be located using the Department of Financial Institution's <u>Corporate Records Search</u>.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

• Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

### Part B: Questions

• Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier. The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
  - The applicant is renewing a license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

**Note:** To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

### Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

Example: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

### Part D: Attestation

• Read the attestation carefully, then sign and date.

### Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

### **Completion and Submission of AB-200**

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form **AB-200**, include:
  - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individuals listed in part C
  - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
  - $\,\circ\,$  License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

**Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

· All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

### **Open Records**

This application is an open record under Wisconsin law (sec. <u>19.35</u>, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

### Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 264-4573

### **Resources Provided by the Department of Revenue**

### License frequently asked questions

Publication 302DOR Alcohol Beverage Laws for Retailers LicensesPublication 309Retail Alcohol Beverage Licensing Guide for MunicipalitiesFact Sheet 3101Licenses for Retail Sale of Alcohol BeveragesFact Sheet 3103Licensed or Permitted Premises DescriptionFact Sheet 3116Reserve "Class B" Liquor LicensesFact Sheet 3118"Class B" Liquor License Quotas

Form

Agent Type (check one)	
Original (no fee)	Successor (\$10 fee for municipal licensees only)

Part A: Business Information	
1. Legal Business Name (individual name if sole proprietor)	
2. Business Trade Name or DBA	
3. Entity Type (check one)	ny Corporation Nonprofit Organization
4. Alcohol Beverage Business Authorization (check one)	5. If successor agent, provide State Permit or Municipal Retail License Number
Municipal Retail License State Permit	
6. Describe the reason for appointing a successor agent, if success	sor is checked above.

Part B: Agent Information							
1. Last Name	2. First Name				3. M.I.		
4. Email				5. Phone			
6. Home Address							
7. City	8. State	9. Zip (	Code	10. Date of Birt	h		
11. Drivers License/State ID Number		12.	Drivers License/State ID S	state of Issuance	•		

Part C: Agent Questions	
1. Have you satisfied the responsible beverage server training requirement?	🗌 No
2. Have you completed Form AB-100, <i>Alcohol Beverage Individual Questionnaire</i> (licensee) or Form AB-300, <i>Alcohol Beverage Personal Questionnaire</i> (permittee)?	🗌 No
3. Have you been a Wisconsin resident for at least 90 continuous days? Yes See instructions for exceptions.	🗌 No

### Part D: Business Attestation

READ CAREFULLY BEFORE SIGNING: I, the **Undersigned**, authorize the above-named individual to act for the above-named corporation, nonprofit organization, or limited liability company with full authority and control of the premises and of all alcohol beverage activities on such premises. I certify that I am authorized by the above-named entity to authorize this individual to act on behalf of the entity. If I am appointing a successor agent, I rescind all previous agent appointments for this premises. Further, I understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Last Name		First Name			M.I.
Title	Email			Phone	
Signature			Date		

# Part E: Agent Attestation READ CAREFULLY BEFORE SIGNING: I, the Agent, hereby accept this appointment as agent for the above-named corporation, nonprofit organization, or limited liability company and assume full responsibility for the conduct of all alcohol beverage activities on the premises for the above-named business. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted. Last Name First Name M.I. Signature Date

# Form AB-101 Instructions

### Alcohol Beverage Appointment of Agent

### Who must complete Form AB-101?

State law requires corporations and limited liability companies (LLCs) to appoint an agent that takes responsibility for the licensed or permitted premises.

Use this form to appoint an agent for a new premises or to appoint a successor agent when there is a change before the license or permit is up for renewal.

### Where do I submit Form AB-101?

Submit Form AB-101 to the appropriate issuing authority, either the clerk of the municipality in which the business or organization is located, or the Division of Alcohol Beverages.

Form AB-101 may be submitted with a license or permit application or at any time to indicate there is a change in agent prior to the license or permit renewal period.

### Specific Instructions

Date:

• Date the form in the top right corner.

### Agent Type:

- Select original appointment if you are applying for your license or permit for the first time or are renewing a license or permit.
- Select successor agent if you are reporting a change of agent during the licensing or permitting period.

### Part A: Business Information

- Box 1: Enter the legal business name. If a sole-proprietorship, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as", if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license or permit application.

- Box 4: Select which alcohol beverage authorization you hold or are applying for.
- Box 5: For appointment of a successor agent, enter your state permit number (15-digit Wisconsin Tax ID number) or municipal retail license number (if applicable) for which you are appointing a successor agent. If you do not have a municipal retail license number, provide any applicable identifier (e.g., store number or location).
- Box 6: For appointment of a successor agent, describe the reason for the change in agent.

### Part B: Agent Information

• Provide all requested personal information.

### Part C: Agent Questions

- Question 1: Wisconsin law requires all agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
  - $\circ$  The applicant is renewing a municipal alcohol beverage retail license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued retail alcohol beverage license in Wisconsin.

- Some agents for state permittees are exempt from responsible beverage server course requirements. The following permittees are exempt from RBS course requirements: Alcohol Beverage Warehouse, Industrial Fermented Malt Beverages, Wholesalers, Manufacturers, Rectifiers, Direct Wine Shippers, Wholesale Alcohol, Medicinal Alcohol, Industrial Alcohol, and Industrial Wine.
- If you are applying to be the agent of one of these exempt permittees, answer "yes" to Question 1.
- To learn about your responsibility to complete the responsible beverage server requirement, review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.
- Question 2: Appointed agents for a retail licensee must complete Form AB-100, *Alcohol Beverage Individual Questionnaire*, and submit it to the municipal clerk in which the licensed business is located. Appointed agents for a permittee must complete and submit Form AB-300, *Alcohol Beverage Personal Questionnaire*, and submit it to the Division of Alcohol Beverages.
- Question 3: Appointed agents must be Wisconsin residents for at least 90 continuous days prior to the date of application, except for direct wine shipper permittees.

### Part D: Business Attestation

• An authorized representative should sign, date, and provide requested personal information on behalf of the business.

### Part E: Agent Attestation

• The agent being appointed should read the attestation carefully, then sign and date.

### Assistance

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 266-2526

Form AB-100	Alcohol Beverage Individual Questionnaire	Date
All individuals involv	red in the alcohol beverage business must complete this form, including:	

- all officers, directors, and agent of a corporation or nonprofit organization
  members and agent of a limited liability company
- sole proprietor all partners of a partnership

Your alcohol beverage application or renewal is not complete until all required Individual Questionnaires are submitted.

Part A: Business Information								
1. Legal Business Name (individual name if sole proprietor)								
2. Business Trade Name or	2. Business Trade Name or DBA							
3. Entity Type (check one)								
Sole Proprietor	Partnership	Limited Liability Company	Corporation	Nonprofit Organization				

Part B: Individual Information					
1. Last Name		2. First Name			3. M.I.
4. Relationship to Business (Title)	5. Email			6. Phone	
7. Home Address					
8. City		9. State	10. Zip Code	11. Date of B	irth
12. Drivers License/State ID Number			13. Drivers License/State ID Sta	ate of Issuance	

Part C: Address History								
1. Do you currently live in Wisconsin?								
If yes, provide the month and year when you permanently moved to Wisconsin								
2. List ir	n chronological order a	ll of your a	ddresses within the	last 5	years. A	ttach additional s	heets if necessar	у.
Previous	Address 1			City	City			Zip Code
Previous Address 2			City			State	Zip Code	
Previous Address 3			City			State	Zip Code	
Previous Address 4			City			State	Zip Code	
Previous Address 5			City		State	Zip Code		
3. List all states and counties you have lived in as an adult. Attach additional sheets if necessary.								
State	County	State	County		State	County	State	County
State	County	State	County		State	County	State	County
3. List all states and counties you have lived in as an adult.       State     County       State     County				State	County	sary.	County	

Part D: Criminal History					
<ol> <li>Have you ever been convicted of any offenses (excluding traffic offenses unless related to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? Yes No</li> <li>If yes to question 1, please list details of each conviction below. Attach additional sheets as needed.</li> </ol>					
		Shar sheets as heeded.	Conviction I		
Law/Ordinance Violated	Location			Date	
Penalty Imposed		Was sentence completed?	. 🗌 Yes	🗌 No	
Law/Ordinance Violated	Location		Conviction I	Date	
Penalty Imposed		Was sentence completed?	. 🗌 Yes	🗌 No	
Law/Ordinance Violated	Location		Conviction I	Date	
Penalty Imposed		Was sentence completed?	. 🗌 Yes	🗌 No	
2. Are charges for any offenses currently pending against you (excluding traffic offenses unless related to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or any county or municipal ordinances?					
If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.					

### Part E: Attestation

**READ CAREFULLY BEFORE SIGNING:** Under penalty of law, I have answered each of the above questions completely and truthfully. I certify that I am not prohibited from participating in this business due to any involvement in another tier of the alcohol beverage industry as a restricted investor. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature Date

# Form AB-100 Instructions

### Alcohol Beverage Individual Questionnaire

### Who must complete Form AB-100?

All persons involved in the applicant business who are sole proprietors, partners of a partnership, officers, directors, members, managers, or agents must complete and submit Form AB-100. These persons are identified in Form AB-101, *Alcohol Beverage Appointment of Agent,* Form AB-200, *Alcohol Beverage License Application,* or an alcohol beverage permit application.

### Where do I submit Form AB-100?

If applying for a retail alcohol beverage license, submit this form with Form AB-200, *Alcohol Beverage License Application* to the clerk of the municipality in which the applicant business is located.

If applying for an alcohol beverage permit, submit this form as required by the permit application to the Division of Alcohol Beverages.

To update the agent for an alcohol beverage license or permit, submit this form with Form AB-101, *Alcohol Beverage Appointment of Agent* to the issuer of the authorization.

### **Specific Instructions**

Date

• Date the form in the top right corner.

### Part A: Business Information

- Box 1: Enter the legal business name. If sole proprietor, enter the individual's first and last name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

**Note:** This business information must match the information on any license application (Form AB-200) or existing license certificate.

### Part B: Individual Information

- Provide all requested personal information.
- Box 4: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Director, Chief Financial Officer, Member, Partner, Agent, etc.

### Part C: Address History

• Question 2: List in chronological order all residential addresses within the last five years starting with your most recent address.

### Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance), and include detailed descriptions of any violations of law involving alcohol beverages (OWI, disorderly conduct, etc.).
- Question 2: Disclose any pending charges against you in any jurisdiction and include detailed descriptions of any charges involving alcohol beverages.

**Note:** Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding alcohol beverage license and permits under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license.

### Part E: Attestation

• Read the attestation carefully, then sign and date.

### Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- Submission of the retail license application and supplemental forms
- Availability and cost of certain licenses.

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 266-2526

### **Resources Provided by the Department of Revenue**

License frequently asked questions Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages Fact Sheet 3103 Licensed or Permitted Premises Description Fact Sheet 3116 Reserve "Class B" Liquor Licenses Fact Sheet 3118 "Class B" Liquor License Quotas

# **City of Platteville Operator License Application**

(for individuals selling or serving alcohol, pursuant to Platteville Municipal Code 36.07)

### Licenses expire June 30. Fees shall not be prorated or refunded.

### □ \$23 - 1 year License (next ensuing June 30)

- □ \$33 2 year License (second ensuing June 30)
- + 🗆 \$10 60 day Provisional License
- □ \$7 Background Check (1 required every 12 months)

Office	Copy of Responsible Beverage Server Course	EXPIRES: June 30, 20
	(email certificate to: steffenc@platteville.org)	LICENSE #
Use:	Renewal	
	Receipt #	Issued

### **Qualifications:**

- 1. You must be at least 18 years old.
- 2. You must meet criminal and other record requirements.
- 3. You must have completed a responsible beverage server course approved by the State of Wisconsin.

The last requirement can be waived if this is a renewal application or if you held a Wisconsin alcohol beverage license, including an operator's license, within the past two years.

### Filling out your application:

- Please print legibly.
- An operator license is a privilege, not a right. Any false answers or omissions may result in the denial of your application.
- If you have any doubt as to whether to include the facts of a specific incident, it is recommended that you disclose the information.
- If you are unsure about how to respond to any questions on this form, check with the City Clerk for clarification.
- You may obtain information regarding your conviction record from the court with which you interacted, or the Wisconsin Circuit Court Access website at <u>www.wcca.wicourts.gov/index.xsl</u> (CCAP may not provide a comprehensive list of ALL arrests and convictions).

### Processing of your application:

- The Platteville Police Department will perform an offense record background check.
- If you have delinquent accounts or unpaid parking tickets with the City of Platteville, your application approval may be delayed until they are paid.
- Your application will not be processed until you deal with outstanding warrants.
- If there are concerns about your application, it will be reviewed by the License Committee and you may be asked to appear.
- If you are asked to appear before the License Committee, but choose not to do so, your application may be denied.
- Meetings of the License Committee are open to the public.

Date	Driver's	Driver's License Number (State & Number)			
First Name		M. I.	Last Name		
Residence: Street Addres	38	City		State	Zip
If you want your license	mailed to a different address	s than above, p	lease provide the address he	ere:	
Contact Phone	Date of Birth	Birth Pla	ce (City, State)		Sex
	I	-ov	er_		1

Other n	ames, aliases or b	irthdates ever used:				
Cities and States lived in during the last 10 years, including where you now reside: From:					To:	
			From:		To:	
			From:		To:	
			From:		To:	
List A	Any <u>Pendin</u>	g Citations, Tickets, or Criminal Charges				
Year	Offense Location	Charge		At the time incident we you under influence o alcohol and other drugs	ere the f l/or	Did incident occur in or around an establishment that serves alcohol?
		s, Tickets, Municipal/Ordinance Violation	s and C	riminal <u>(</u>	Conv	victions
Year	Offense Location	Charge		At the time incident we you under influence o alcohol and other drugs	ere the f l/or	Did incident occur in or around an establishment that serves alcohol?
	A	pplicant's Signature				

# State of Wisconsin Department of Revenue

### Email Certificate to steffenc@platteville.org

# Alcohol Seller/Server

While the following Responsible Beverage Server training courses have been approved for certification in the state, the Wisconsin Department of Revenue does not endorse nor administer any of these programs. Any issues you have concerning registration, student certification, fees, certificates, etc., must be directed to the individual vendor for resolution. Persons seeking how to become an approved course provider, see our <u>common questions</u>.

# Classroom instruction providers:

- <u>Wisconsin Technical Colleges</u>
- <u>RBSLearn.com</u>
- Serving Alcohol Inc. Wisconsin Alcohol Seller/Server Course
- ServSafe Alcohol (WRAEF/NRAEF)
- <u>TIPS</u>

# Online instruction providers:

- <u>Affordable Alcohol Training dba LIQUORexam.com</u>
- <u>EduClasses.org</u>
- Learn2Serve
- <u>Rserving.com</u> (Professional Server Certification Corporation)
- <u>ServerLicense.com</u>
- Serving Alcohol Inc. Wisconsin Alcohol Seller/Server Course
- <u>ServSafe Alcohol</u> (WRAEF/NRAEF)
- TIPS ("eTIPS On Premise" is the only approved online course for Wisconsin)
- <u>Wisconsin-Bartending.com</u>

February 25, 2022



Alcohol (July 1 – June 30) Operator	\$ 23 (1 year) \$ 33 (2 year) \$ 10 (provisional)
Class "A" Beer Class "B" Beer "Class C "Wine "Class A" Beer & Liquor "Class B" Beer & Liquor "Class B" Beer & Liquor Reserve "Class B" Beer & Liq. Provisional Change of Agent Publication - Renewal Publication - New Annual Alcohol Renewal License Late Fee Temporary (Picnic) "Class B" or Class "B"	\$150 \$100 \$650 \$600 (0 Available) \$10000 (4 Available) \$ 15 \$ 10 \$ 8 \$ 50.50 \$100 \$ 10 (2 wine per 12 month period)
Background Fee	<pre>\$ 7 (required only once/year)</pre>
Banner Permits	\$125
Direct Seller Permits	\$ 50 (1 yr. from date of issuance)
Fireworks Dealer	\$200 (1 yr. from date of issuance)
Junk Dealer (July 1 – June 30)	\$ 50
Dog (issued by Finance)	\$ 6 (neutered)/ \$12 (not neutered)
Kennel/Grooming	\$ 35
Parade/Walkathon/Run	\$ 50
Taxi (Jan 1 – Dec 31)) Driver Vehicle Provisional (60 days)	\$ 13 \$ 13 \$ 13
Tobacco/Cigs (July 1- June 30)	\$100
Copies	\$ 0.25 (per page)